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Professor Peter Christian Sester is a dual qualified lawyer in Brazil and Germany. He has practiced commercial law for 14 years at the Frankfurt office (finance practice group) of a leading international law firm. In the last 15 years Peter has mainly acted as arbitrator, mediator, and expert (business law, valuations, and damages) in commercial disputes. He focuses on complex contracts (e.g., construction and energy supply contracts), corporate and capital markets law, asset and project finance, and M&A.

Peter holds two PhDs, one in Commercial Law, the other in Economics. Furthermore, he holds a German *Habilitation* in international business law. Peter has served as a tenured Professor of Law & Economics, and Commercial Law at several German and Swiss universities. He was the director of the Institute of Law and Finance at Goethe-University Frankfurt a.M. and later of the Institute for Finance Law and Law & Economics at the University St. Gallen. Peter has been

teaching business law and law & economics for over 20 years at various law and business school on various levels: graduation, master and PhD programs, and professional education (MBA and LLM programs).

Peter has authored eight books, edited another six books, and published over 100 articles in law journals and edited books. Notably, he is the sole author of *Contract Law in International Commercial Arbitration* (Wolters Kluwer 2023) and of *Business and Investment in Brazil: Law and Practice* (Oxford University Press 2022). He is the sole editor and main author of *International Arbitration: Law and Practice in Brazil* (Oxford University Press 2020).

In 2002, Peter received his first appointment as full professor of commercial law in Germany. Further appointments in Switzerland and Germany soon following, including Goethe-University, Frankfurt am Main where he also served as Director of the Institute for Law and Finance. Since 2006, he has been lecturing on a regular basis at top Brazilian public (e.g., Federal University of Rio de Janeiro: URFJ) and private universities (FGV-Rio, FGV-Sao Paulo, and Insper).

Since 2019, he has been serving as Professor of International Arbitration at FGV-Rio and as a member of its Centre for Commercial and Arbitration Law.

Peter is a native speaker of German and fluent in Portuguese, English and French. He also has a working knowledge of Spanish. He was born in 1967 and is a German national.

## **Academic positions**

Since 2020. Professor of arbitration and commercial Law at Fundação Getúlio Vargas Law School Rio de Janeiro; member of the board of the center for commercial law and arbitration.

From 2019 to 2021 visiting professor of New University of Lisbon (Portugal), Investment Arbitration and M&A.

From 2018 to 2019 visiting professor Federal University of Rio de Janeiro (Brazil).

From 2014 to 2019 tenured professor of international business law and law & economics University St. Gallen (Switzerland).

From 2013 to 2014 tenured professor of commercial law and director of the Institute for Law and Finance Goethe-University Frankfurt am Main (Germany).

From 2002 to 2013 tenured professor of commercial law and director of the Institute for Information Law at Karlsruhe Institute (the former University of Karlsruhe, Germany).

From 2003 to 2013 honorary professor of civil law at the University Freiburg im Breisgau (Germany).

From 1998 to 2002 assistant professor at the Institute for Anglo-American Law of the Philipps-University Marburg (Germany).

Since 1999 he has received numerous invitations as guest professor or fellow from law and business schools, among them Cambridge University (UK), London School of Economics (UK), Institute for Advanced Legal Studies (UK), University Aix-Marseille (France), University Montpellier (France), New University of Lisbon (Portugal), Argentine University of the Enterprise (UADE) in Buenos Aires, Argentina), Universidad Austral in Buenos Aires (Argentina), University of Chile (Santiago de Chile), Fundação Getúlio Vargas – Law School Rio de Janeiro and São Paulo (Brazil), Federal University of Rio de Janeiro, COPPEAD and law school (Brazil).

### **Academic education and qualifications**

In 2021 accelerated route to CIArb fellowship, North American Branch (FCIArb).

In 2017 exam of the Brazilian Bar Association (OAB/RJ No. 214446).

In 2008 PhD in economics Humboldt-University in Berlin (Germany) – topic of thesis *Institutional Design of Capital Market Reforms (the Brazilian experience)*.

In 2001 “Habilitation” (postdoctoral thesis, necessary to become in Germany a tenured professor of law) in civil and international business law, Philipps-University Marburg (Germany) – topic of thesis *Complex Contracting in International Project Finance*.

In 1995 PhD in law Karl Ruprecht University Heidelberg (Germany) – topic of thesis *Fiduciary Duties and Voting Rights in Commercial Partnership Meetings*.

From 1992-1996 law clerk (Rechtsreferendar) of State Court (Landgericht) Baden-Baden – Second State Exam with honors and offer by the Ministry of Justice of Baden-Württemberg to take office as judge.

From 1990-1996 BA and MA in business administration at Germany's State Distance-Learning University Hagen (Germany) – Diplom-Kaufmann.

1988-1992 law school of Ruprecht-Karls University Heidelberg (Germany) – First State Exam as best student of the year.

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## **Professional activities**

Since 2022, member FGV's special commission that was set up to reform the rules of FGV's arbitration chamber.

Since 2017, he runs his own law firm in Rio de Janeiro, which is focused on arbitration, expert opinions on Brazilian, German and EU law.

Since 2019, member of the federal arbitration commission and of the capital market law commission, both of the Brazilian Bar Association (OAB).

From 2019 to 2021 vice-president of the arbitration institution CAM-CCBC.

From 2015 to 2016 of counsel at a Swiss law firm with focus on arbitration.

From 2002 to 2015 of counsel at an international law firm (Frankfurt office, finance practice group) – corporate (Leveraged Buyout and M&A) and project and asset financing, capital market transactions and regulation.

## **Bibliography of Peter Christian Sester**

The following multi-lingual bibliography is citing first the title of books and articles in the original language (English, German, Portuguese, French or Spanish). Subsequently it is providing in rectangular brackets an English translation of the respective titles. Citations follow Oxford University Press standards.

### **Authored Books**

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2. Ibid, *Business and Investment in Brazil* (Oxford University Press 2022)
3. Ibid, *Comentários à Lei de Arbitragem e à Legislação Extravagante [Comments on the Arbitration Act and related legislation]* (Quartier Latin 2020)
4. Ibid, *Brasilianisches Handels- und Wirtschaftsrecht [Brazilian commercial and economic law]* (2nd edn, Fachmedien Recht und Wirtschaft 2014)
5. Ibid, *Brasilianisches Handels- und Wirtschaftsrecht [Brazilian commercial and economic Law]* (1st edn, Fachmedien Recht und Wirtschaft 2010)
6. Ibid, *Institutionelle Reformen in heranreifenden Kapitalmärkten: der brasilianische Aktienmarkt [Institutional reforms in emerging capital markets: the Brazilian stock market]* (de Gruyter 2009)

7. Ibid, *Projektfinanzierungsvereinbarungen als Gestaltungs- und Regulierungsaufgabe: eine symbiotische Finanzierungsform für privatwirtschaftliche Projekte und Private Public Partnership* [Project financing agreements as a challenge for the drafting practice and regulation: a symbiotic financing pattern for private projects and public private partnership] (Dr. Otto Schmidt Verlag 2004)
8. Ibid, *Treuepflichtverletzung bei Widerspruch und Zustimmungsverweigerung im Recht der Personenhandelsgesellschaften* [Breach of loyalty by obstructive voting in commercial partnership law] (Nomos Recht 1996)

### **Edited and Co-authored Books**

1. Sester PC (ed), *International Arbitration: Law and Practice in Brazil* (Oxford University Press 2020)
2. Ibid et al (eds), *Finanzmarktaufsicht und Finanzmarktstrukturen* [Financial Market Supervision and Structures of Financial Markets (in Switzerland)] (Dike 2017)
3. Ibid (ed), *Family Offices – Law, Management, and Banking* (Dike 2017)
4. Ibid et al (eds), *Law & Economics (Liber amicorum Peter Nobel, 70th anniversary)* (Stämpfli Verlag 2015)
5. PC Sester et al (eds), *Beck'sche Synopse zum neuen Schuldrecht* [Beck's synopsis on the new Laws of Obligations] (Verlag CH Beck 2002)

### **Law Journal Articles**

1. Sester PC, 'Proof of law, legal expert opinions, and some red flags when conducting arbitrations in Brazil' (2021) 3 German Journal of Arbitration 137
2. Ibid, 'Transplanting US fraud class actions into Brazilian arbitration' (2021) Volume 265, No 115 New York Law Journal 4
3. Ibid, 'Desafios da arbitragem societária: do efeito erga omnes até a arbitragem coletiva' [Challenges of corporate arbitration: from the erga omnes effects to collective arbitration] (2019) 62 Revista de Arbitragem e Mediação 27
4. Ibid, 'O efeito vinculante da cláusula compromissória no contexto do direito privado e processual internacional: o caso dos ADRs da Petrobrás' [The binding effect of arbitration clauses (in corporate bylaws) in the light of private international and procedural law: the case of ADRs issued by Petrobrás] (2018) 57 Revista de Arbitragem e Mediação 99

5. Ibid et al, 'Handelsschiedsgerichtsbarkeit in Brasilien – Rechtsrahmen und faktische Gegebenheiten' [Commercial arbitration in Brazil – legal framework and factual circumstances] (2017) 64 Recht der Internationalen Wirtschaft (RIW) 701
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7. Ibid, 'Plädoyer für die Rechtmäßigkeit der EZB Rettungspolitik' [Pleading in favor of the legality of the European Central Bank's (sovereign debt) crises policy] (2013) 60 Recht der Internationalen Wirtschaft (RIW) 451
8. Ibid, 'Die Rolle der EZB in der europäischen Staatsschuldenkrise' [The role of the European Central Bank (ECB) in the European sovereign debt crises] (2012) Europäisches Wirtschaftsrecht und Steuerrecht (EWS) 80
9. Ibid, 'The ECB's controversial Securities Market Program (SMP) and its role in relation to the modified EFSF and the future ESM' (2012) European Company and Financial Law Review (ECFR) 156
10. Ibid, 'Finanztransaktionssteuer und Finanzmarktstabilität' [Financial Transaction Tax and Financial Market Stability] (2012) 66 Zeitschrift für Wirtschafts- und Bankrecht - Wertpapiermitteilungen (WM) 529
11. Ibid, 'Beteiligung von privaten Investoren an der Umschuldung von Staatsanleihen im Rahmen des European Stability Mechanism (ESM)' [Participation of private investors in the restructuring of sovereign bonds within the framework of European Stability Mechanism (ESM)] (2011) 65 Zeitschrift für Wirtschafts- und Bankrecht - Wertpapiermitteilungen (WM) 1057
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13. Ibid, 'Der brasilianische Übernahmemarkt – Rechtsgrundlagen für M&A-Transaktionen' [The Brazilian takeover market – legal framework of M&A transactions] 2010 Corporate Finance law 425
14. Ibid, 'Entwicklungslien des brasilianischen Gesellschafts- und Kapitalmarktrechts' [Development paths of Brazilian company and capital market law] (2010) 57 Recht der Internationalen Wirtschaft (RIW) 97
15. Ibid, 'Bieterverfahren und Projektverträge im brasilianischen Recht' [Public procurement and project contracts subject to Brazilian (administrative) law] (2010) 57 Recht der Internationalen Wirtschaft (RIW) 269
16. Ibid, 'Schiedsgerichtsbarkeit im brasilianischen Handels- und Gesellschaftsrecht' [Commercial arbitration in the field of Brazilian commercial and company law] (2010) 57 Recht der Internationalen Wirtschaft (RIW) 680
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18. Ibid, 'Rezeption des Modells der "Independent Regulatory Commission" im brasilianischen Wirtschaftsrecht am Beispiel der Finanzmarktaufsicht' [Legal transplant of the model "Independent Regulatory Agency" into Brazilian business

and economic law] (2010) 74 Zeitschrift für ausländisches und internationales Privatrecht / The Rabel Journal of Comparative and International Private Law (RabelsZ) 360

19. Ibid, ‘Towards a transnational bank restructuring law? – The attempt of the G20 to initiate and monitor regulatory responses to the “too big to fail” problem’ (2010) European Company and Financial Market Law Review (EFCR) 512
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21. Ibid, ‘Die brasilianische Kapitalmarktaufsicht – Status und Kompetenzen der Comissão de Valores Mobiliários im nationalen Finanzsystem’ [The Brazilian Securities Exchange Commission – status and competences within the national financial system] (2009) 81 Schweizerische Zeitschrift für Wirtschafts- und Finanzmarktrecht / Revue suisse de droit des affaires et du marché financier (SZW/RSDA) 26
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47. Ibid et al, 'The Extra-communitarian Effects of Centros, Überseering and Inspire Art with regard to 4th Generation Association Agreements' (2005) 3 European Company and Financial Law Review (ECFR) 3
48. Ibid, 'Insolvenzfeste Direktverträge in der Projektfinanzierung und bei Public-Private-Partnership-Projekten auf der Basis eines Konzessionsvertrages' [Direct contracts in project financing and public private partnership projects based on concession agreements] (2005) 17 Zeitschrift für Bankrecht und Bankwirtschaft (ZBB) / Journal of Banking Law and Banking (JBB) 283
49. Ibid et al, 'Finanzierungsverträge für PPP-Projekte im öffentlichen Hochbau und Refinanzierung durch Pfandbriefe' [Contracts for the financing of PPP projects – buildings ordered by the public sector] (2005) Zeitschrift für Immobilienrecht 81
50. Ibid et al, 'Internationale Sicherungsrechte an Flugzeugen auf Basis der Kapstadt-Konvention: Umsetzungsprobleme und praktische Vorwirkungen' [International security interests established on the basis of the Cape Town Convention] (2005) 59 Zeitschrift für Wirtschafts- und Bankrecht - Wertpapieremissionen (WM) 301
51. Ibid et al, 'Wirksamkeit der Veräußerung notleidender Darlehensforderungen durch Sparkassen: keine Verletzung von Privatgeheimnissen gemäß § 203 StGB' [Validity of sell-and-purchase contracts of loan claims (sold by saving banks) in the case of the borrower's default: no violation of private secrets (data) in the sense of section 203 of the Penal Law Code] (2005) Der Betrieb 375

52. Ibid, 'Inhalt und Format von Emissionsprospekten nach dem WpPG' [Content and form of securities emission prospectuses in accordance the (German) Securities Prospectus Act] (2005) 17 Zeitschrift für Bankrecht und Bankwirtschaft (ZBB) / Journal of Banking Law and Banking (JBB) 209
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### Contributions to Edited Books

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